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Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number Application Number 09/966.419 RANSMITTAL Filing Date September 27, 2001 **FORM** First Named Inventor Vandenameele-Lepla, Patrick or all correspondence after initial filing) Group Art Unit 2681 Examiner Name Total Number of Pages in This Submission 13 Attorney Docket Number 020798-002000US ENCLOSURES (check all that apply) Assignment Papers After Allowance Communication to Fee Transmittal Form (for an Application) Group Drawing(s) (3 sheets Substitute Appeal Communication to Board of Fee Attached drawings) Appeals and Interferences Appeal Communication to Group Amendment / Response Licensing-related Papers (Appeal Notice, Brief, Reply Brief) Petition Routing Slip (PTO/SB/69) After Final Proprietary Information and Accompanying Petition Petition to Convert to a Affidavits/declaration(s) Status Letter Provisional Application Power of Attorney, Revocation Other Enclosure(s) Extension of Time Request Change of Correspondence Address (please identify below) Terminal Disclaimer Executed Declaration, Revised ADS, Express Abandonment Request Power of Attorney, Statement Under 37 Request for Refund CFR 3.73(b) w/copy of assignment, copy Information Disclosure Statement of Notice to File Missing Parts and Return CD, Number of CD(s) Postcard The Commissioner is authorized to charge any additional fees to Certified Copy of Priority Deposit Account 20-1430. Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Townsend and Townsend and Crew LLP Firm and Babak S. Sani Reg. No. 37,495 Individual name Signature Date 11/19/01 **CERTIFICATE OF MAILING** I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 11/19/01 Typed or printed name D. Bullock 11/19/01 Signature Date

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PTO/SB/17 (09-00)
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OLPINE TO ANO	RAITTAI	Complete if Known				
O'' FEE I RANS	WIIIAL	Application Number	09/966,419			
OVERE TRANS	001	Filing Date	September 27, 2001			
<u>Ş</u> /		First Named Inventor	Vandenameele-Lepla, Patrick			
elent fees are subject to a	nnual revision.	Examiner Name				
PADEMARK		Group Art Unit	2681			
TOTAL AMOUNT OF PAYMENT	(\$) 65.00	Attorney Docket No.	020798-002000US			

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METHOD OF PAYMENT					L	FEE CALCULATION (continued)						
The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:						3. ADE	ITIONAL	. FEES				
Depo				and credit any o	ver payments to:		Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
Account Number 20-1430		105	130	205	65	Surcharge - late filing fee or oath	65					
				127	50	227	25	Surcharge - late provisional filing fee or cover sheet.				
Deposit Account Townsend and Townsend and Crew LLP			139	130	139	130	Non-English specification					
Name					147	2,520	147	2,520	For filing a request for reexamination			
					112	920*	112	920*	Requesting publication of SIR prior to Examiner action			
Applicant claims small entity status. See 37 CFR 1.27					113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action			
2. Payment Enclosed:					115	110	215	55	Extension for reply within first month			
☐ Check ☐ Credit card ☐ Money ☐ Other Order					116	400	216	200	Extension for reply within second month			
FEE CALCULATION					117	920	217	460	Extension for reply within third month			
BASIC FILING FEE					118	1,440	218	720	Extension for reply within fourth month			
Large	Entity 3	Small	Entity				128	1,960	228	980	Extension for reply within fifth month	
Fee		ee	Fee	Fee Description		D. 11	119	320	219	160	Notice of Appeal	
Code	***	Code	(\$)	1 14714 - EVI E	Fee	Paid	120	320	220	160	Filing a brief in support of an appeal	
101		201	370	Utility filing fee			121	280	221	140	Request for oral hearing	
106 107		206 207	165 255	Design filing fee Plant filing fee			138	1,510	138	1,510	Petition to institute a public use proceeding	
108	740 2	208	370	Reissue filing fee	•		140	110	240	55	Petition to revive – unavoidable	
114	160 2	214	80	Provisional filing	fee		141	1,280	241	640	Petition to revive – unintentional	
						142	1,280	242	640	Utility Issue fee (or reissue)		
SUBTOTAL (1) (\$)					143	460	243	230	Design issue fee			
2. EXTR	RA CLAIN	I FEES			V . (1)		144	620	244	310	Plant issue fee	
					e from Fe		122	130	122	130	Petitions to the Commissioner	
Total Claim	ns	20*	* = [Claims bel	ow Pa	aid	123	50	123	50	Petitions related to provisional applications	
ndependen Claims	ıt	-3**	• = [×	=		126	180	126	180	Submission of Information Disclosure Stmt	
Multiple Dependent				x	=		581	40	581	40	Recording each patent assignment per property (times number of properties)	
Large Fee	Entity Fee	Small Fee	Entit Fee	-	·		146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
Code 103	(\$) 18	Code 203	(\$) 9	Fee Descript Claims in exc			149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
102 104	84 280	202 204	42	Independent claims in excess of 3			179	740	279	370	Request for Continued Examination (RCE)	
104	280 84	204	140 42	140 Multiple dependent claim, if not paid ** Reissue independent claims over			169	900	169	900	Request for expedited examination	$\vdash \vdash \mid$
110	18	210	9	original paten ** Reissue cla over original p	ims in excess of	20 and	of a design application Other fee (specify)					
					The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.							
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SUBMITTED BY Complete (if applicable)									
Name (Print/Type)	Babak S. Sani	Registration No. (Attorney/Agent)	37,495	Telephone	415-576-0200				
Signature	Kula		\geq	Date	11-19-01				

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APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/966,419

09/27/2001

Patrick Vandenameelel-Lepla

020798-002000US

20350

TOWNSEND AND TOWNSEND AND CREW, TWO EMBARCADERO CENTER **EIGHTH FLOOR**

09966415

SAN FRANCISCO, CA 94111-3834



CONFIRMATION NO. 6376

FORMALITIES LETTER



OC000000006961210*

Date Mailed: 10/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

12/03/2001 BABRAHA1 00000013 201430

65.00 CH

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

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A copy of this notice MUST be returned with the reply.

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